# Searching for Plans with Carefully Designed Probes\*

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#### Abstract

We define a probe to be a single action sequence computed greedily from a given state that either terminates in the goal or fails. We show that by designing these probes carefully using a number of existing and new polynomial techniques such as helpful actions, landmarks, and consistent subgoals, a single probe from the initial state can solve by itself 683 out of 980 problems from previous IPCs, a number that compares well with the 627 problems solved by FF in EHC mode, with similar times and plan lengths. We also show that by launching one probe as a lookahead mechanism from each expanded state in a standard greedy best first search informed by the additive heuristic, the number of problems solved jumps to 900 (92%), as opposed to FF that solves 827 problems (84%), and LAMA that solves 844 (86%). The success of probes, like the improvements of FF and LAMA over HSP before, suggests that effective heuristic search planning is more than heuristic search with automatically derived heuristic estimators, with structural forms of inference playing an important role as well.

#### Introduction

Heuristic search has been the mainstream approach in planning for more than a decade, with planners such as FF, FD, and LAMA being able to solve problems with hundreds of actions and variables in a few seconds (Hoffmann and Nebel 2001; Helmert 2006; Richter and Westphal 2010). The basic idea behind these planners is to search for plans using a search algorithm guided by heuristic estimators derived automatically from the problem (McDermott 1996; Bonet and Geffner 2001). State-of-the-art planners, however, go well beyond this idea, adding a number of techniques that are specific to planning, not to heuristic search. These techniques, such as helpful actions and landmarks (Hoffmann and Nebel 2001; Hoffmann, Porteous, and Sebastia 2004; Richter, Helmert, and Westphal 2008), are designed to exploit the *propositional structure* of planning problems; a structure that is absent in traditional heuristic search where states and heuristic evaluations are used as black boxes. Moreover, new search algorithms have been devised to make better use of these new techniques. FF, for example, triggers a best-first search when an incomplete but effective greedy search (enforced hill climbing) that uses the helpful actions

only, fails. In FD and LAMA, the use of helpful or preferred operators is not restricted to the first phase of the search, but to one of the open lists maintained in a multi-queue search algorithm. In both cases, dual search architectures that appeal either to two successive searches or to a single search with multiple open lists, are aimed at solving fast, large problems that are simple, without giving up completeness on problems that are not.

In this work, we formulate and test a new dual search architecture for planning that is based on the idea of probes: a single action sequence computed without search from a given state that can quickly go deep into the state space, terminating either in the goal or in failure. The probes are explorations that are more focused than the enforced hill climbing search, and can be used as a lookahead mechanism in a best-first search. We show that by designing these probes carefully using a number of existing and new polynomial inference techniques, 683 out of 980 benchmarks (70%) can be solved with a single probe from the initial state. Moreover, by using one probe as a lookahead mechanism from each expanded state in a standard greedy best first search informed by the additive heuristic, the number of problems solved jumps to 900 (92%), a number that compares well to state-of-the-art planners like FF and LAMA that solve 827 (84%) and 844 (86%) problems respectively.

The success of probes, like the improvements of FF and LAMA over HSP before, suggests that effective heuristic search planning is more than heuristic search with automatically derived estimators, with structural inference techniques in the form of helpful actions and landmarks playing an important role as well. The probes are designed to take advantage of these and other inference techniques. Critical for the effectiveness of the probes is the use of subgoaling techniques to decompose the problem, and the use of *causal* commitments of the form  $\langle a, p, B \rangle$  to express that a fluent p was made true by action a in order to achieve one of the fluents in B. Nodes generated in probes do not maintain the state of the fluents only but also the reasons and the subgoals for which these fluents were made true. In particular, in a node where the causal commitment  $\langle a, p, B \rangle$  is true, actions that delete p without adding one of the fluents in Bare pruned. The result is that probes are more focused and goal-directed than arbitrary sequences of helpful actions in FF.

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The use of lookahead in search and planning is very old in AI, and appears more recently in the YAHSP planner, that makes an attempt to look ahead by using sequences of actions extracted from the relaxed plan (Vidal 2004). While PROBE also looks ahead by using sequences of actions, the design and use of these sequences is completely different in the two planners. In particular, while in YAHSP, the action sequences are executable prefixes of the relaxed plan, in PROBE, they are computed from scratch for achieve each one of the remaining subgoals in sequence. The range of domains that are solved by just throwing a single probe from the initial state is then much larger. In this sense, the motivation for PROBE is related to the motivation behind other recent planners such as eCPT (Vidal and Geffner 2005) and C3 (Lipovetzky and Geffner 2009) that also aim to solve simple, non-puzzle-like domains, with little or no search at all. This requires capturing in a domain-independent form the inferences that render the search superfluous in such domains.

#### **PROBE: The Planner**

Heuristic search planners that just plug a delete-relaxation heuristic into a well known search algorithm are nice, as they can be easily understood. A problem that they face, however, are the search plateaus, a situation when goals are in 'conflict', and approaching one means to move away from the others. Since the formulation of more effective estimators hasn't been simple after more than a decade, the solution to this problem has given rise to other types of inferences and techniques. These techniques are absent in the first generation of planners such as UNPOP and HSP, but are present in FF, FD, and LAMA. These planners are less monolithic, and their details are often more difficult to follow, but it's precisely those 'details' that make the difference. The planner PROBE is no exception to this trend towards 'finer-grained planning', and incorporates a number of design decisions that we explain below.

PROBE is a complete, standard greedy-best first (GBFS) Strips planner using the standard additive heuristic, with just *one change*: when a state is selected for expansion, it first launches a *probe* from the state to the goal. If the probe reaches the goal, the problem is solved and the solution is returned. Otherwise, the states expanded by probe are added to the open list, and control returns to the GBFS loop. The crucial and only novel part in the planning algorithm is the definition and computation of the probes.

We define probes first using a number of notions that will be fully characterized later. We assume a Strips problem whose top goals G are the preconditions of a dummy End action that adds a dummy goal  $G_d$ . As in POCL planning, this is needed due to the use causal commitments that are similar to causal links (Tate 1977; McAllester and Rosenblitt 1991).

# **Probes**

A *probe* is an action sequence  $a_0, a_1, \ldots, a_k$  that generates a sequence  $n_0, n_1, \ldots, n_{k+1}$  of nodes, each of which is a pair  $n_i = \langle s_i, C_i \rangle$  made up of the problem state  $s_i$  and a

set of causal commitments  $C_i$ . The initial node of a probe is  $n_0 = \langle s, \emptyset \rangle$  where s is the state from which the probe is triggered, and  $\emptyset$  is the empty set of commitments. The action selection criterion decides the action  $a_i$  to choose in node  $n_i = \langle s_i, C_i \rangle$  greedily without search. This action generates the new node to  $n_{i+1} = \langle s_{i+1}, C_{i+1} \rangle$ , where  $s_{i+1}$  is the result of progressing the state  $s_i$  through  $a_i$ , and  $C_{i+1}$  is  $C_i$  updated with the causal commitments consumed by  $a_i$  removed, and the causal commitments produced by  $a_i$  added.

### **Probe Construction: Action and Subgoal Selection**

The actions in a probe are selected in order to achieve subgoals chosen from  $the\ landmarks\ that\ are\ yet\ to\ be\ achieved.$  A number of techniques are used to make the greedy selection of the  $next\ subgoal$  to achieve and  $the\ actions\ for\ achieving\ it$ , effective. A probe that reaches the goal is the composition of the action sequences selected to achieve the next subgoal, the one following it, and so on, until all landmarks including the dummy goal  $G_d$  are achieved. Probes are not and need not to be complete; yet they are supposed to capture the plans that characterize 'simple domains' even if we don't have yet such a characterization.

The subgoal to pursue next is selected in a node n in two cases only: when n is the first node of the probe, or when the subgoal g associated with its parent node n' in the probe is achieved in n. Otherwise, n inherits the subgoal from its parent node. The action a selected in a node n is then the action that appears to be 'best' for the subgoal g associated with g. If g does not achieve g, then g stays active for the next node, where the action to include in the probe is selected in the same way.

The formal definition of the subgoal and action selection criteria for the construction of probes is given below using notions that will be made precise later, like the *heuristic* h(G|s,C) that takes both the state s and the *commitments* C into account, the precomputed *partial ordering among land-marks*, and the conditions under which a subgoal is deemed as *consistent* from a given node.

The criterion for selecting the subgoal g in node  $n = \langle s, C \rangle$  is the following. First, the set S of first unachieved landmarks that are consistent in  $n = \langle s, C \rangle$  is computed. Then, the landmark  $p \in S$  that is nearest according to the heuristic h(p|s,C) is selected as the subgoal for n.

The selection of the action a in n is in turn the following. First, the set of actions a that are deemed helpful in  $n=\langle s,C\rangle$  for either the subgoal or commitments associated with n are computed, and those that lead to a node  $n'=\langle s',C'\rangle$  for which either h(G|s',C') is infinity or s' has been already generated are pruned. Then, among the remaining actions, if any, the action that minimizes the heuristic h(g|s',C') is selected. In case of ties, two other criteria are used lexicographically: first 'min  $\sum_L h(L|s',C')$ ',

<sup>&</sup>lt;sup>1</sup>Notice that we are forcing probes to explore new states only. This is an heuristic decision that does not compromise the completeness of the best-first search algorithm that uses probes.

<sup>&</sup>lt;sup>2</sup>Except for a few details, this criterion is similar to the one used by LAMA for preferring actions in the landmark heuristic queue; namely, that "if no acceptable landmark can be achieved within one

where L ranges over the first unachieved landmarks, then 'min  $h(G_d|s',C')$ ', where  $G_d$  is the dummy goal.

If a node  $n=\langle s,C\rangle$  is reached such that all helpful actions are pruned, a second attempt to extend the current probe is made before giving up. PROBE recomputes the relaxed plan from n with those actions excluded, resulting in a new set of helpful actions if the heuristic does not become infinite. The new set of helpful actions is pruned again as above, and the process is iterated, until a non-pruned helpful action is obtained at s, or the heuristic becomes infinite. In the latter case, the probe terminates with termina

In the next few sections, we fully specify the notions assumed in these definitions.

#### **Commitments and Heuristic**

A causal commitment is a triple  $\langle a,p,B\rangle$  where a is an action, p is a fluent added by a, and B is a set of fluents. The intuition is that fluent p was added by a in order to achieve (at least) one of the fluents in B, and hence that p should remain true until an action adds some fluent in B, consuming the causal commitment. A result of this is that in a node  $n=\langle s,C\rangle$  with a commitment  $\langle a,p,B\rangle$  in C, any action a applicable in s that deletes p but does not add any fluent in B, is taken to violate the commitments in C, and is pruned from the set of applicable actions.

A heuristic h(G|s,C) is used to estimate the cost to a set G of fluents from a node  $n=\langle s,C\rangle$ . This heuristic takes the set of causal commitments C into account and is defined like the standard additive heuristic:

$$h(G|s,C) = \sum_{p \in G} h(p|s,C) \tag{1}$$

where

where 
$$h(p|s,C) = \begin{cases} 0 & \text{if } p \in s \\ \min_{a \in O(p)} [cost(a) + h(a|s,C)] & \text{otherwise} \end{cases}$$

and

$$h(a|s,C) = \delta(a,s,C) + h(Pre(a)|s,C). \tag{3}$$

The only novelty in this definition is the offset term  $\delta(a,s,C)$  that penalizes actions a that violate causal commitments  $\langle a_i,p_i,B_i\rangle$  in C. The offset for such actions is the cost of achieving one of the fluents in  $B_i$ , as the action a cannot be executed until those commitments are consumed. More precisely:

$$\delta(a,s,C) = \left\{ \begin{array}{ll} 0 & \text{if } a \text{ violates no commitment in } C \\ \max_B \min_{q \in B} h(q|s,C) \;, & \text{otherwise,} \end{array} \right.$$

where B ranges over the sets of fluents  $B_i$  in the commitments  $\langle a_i, p_i, B_i \rangle$  in C violated by a.

The result of the offsets arising from the commitments C is that actions a applicable in s may get heuristic value

step, the preferred operators are those which occur in a relaxed plan to the nearest simple acceptable landmark" (Richter and Westphal 2010).

h(a|s,C) greater than zero when they violate a commitment in C. Likewise, a goal G reachable from s may get an infinite heuristic value h(G|s,C), as for example when G requires an action a with an infinite offset  $\delta(a,s,C)$ . This can happen when in order to consume any of the commitments  $\langle a_i,p_i,B_i\rangle$  in C violated by a, it is necessary to violate one of such commitments. For instance, if the goal G stands for the atoms on(1,2) and on(2,3) in Blocks, the heuristic  $h(G_d|s,C)$  associated with the node  $n=\langle s,C\rangle$  that results from stacking 1 on 2 when 2 is not on 3, will have infinite value. The reason is that the offset  $\delta(a,s,C)$  for the required action a=unstack(1,2) is infinite, as a violates the commitment  $\langle stack(1,2),on(1,2),\{G_d\}\rangle$  in C, which cannot be consumed from the state s by any other action, as  $G_d$  cannot be achieved without undoing first on(1,2).

The *relaxed plan* associated with a node  $n = \langle s, C \rangle$  and a goal G is obtained by collecting backwards from G, the best supporters  $a_p$  for each p in G, and recursively the best supporters for their preconditions that are not true in s (Keyder and Geffner 2008). The best supporter for an atom p is an action a that adds p and has minimum h(a|s, C) value. The helpful actions for a subgoal g in a node  $n = \langle s, C \rangle$ are defined then as in FF, as the actions a with heuristic h(a|s,C) = 0 that add a precondition or goal in the relaxed plan. For convenience, however, this relaxed plan is not defined as the relaxed plan for q in n, but as the relaxed plan for the joint goal formed by g and the (disjunctive) targets  $B_i$  in the commitments  $\langle a_i, p_i, B_i \rangle$  in C. This reflects that such targets also represent subgoals associated with the node  $n = \langle s, C \rangle$ , even if unlike g, they do not have to be achieved necessarily.3

An action a selected in a node  $n = \langle s, C \rangle$  generates the new node  $n' = \langle s', C' \rangle$  where s' is the result of progressing s through a, and C' is the result of removing the commitments consumed by a in n, and adding the commitments made by a in n. The action a consumes a commitment  $\langle a_i, p_i, B_i \rangle$  in C if a adds a fluent in  $B_i$  (whether or not a deletes  $p_i$ ). Likewise, a makes the commitments  $\langle a, p, B \rangle$  in  $n = \langle s, C \rangle$ , if p is a fluent added by a, and a is the set of fluents added by actions in the relaxed plan in a that have a as a precondition.

# **Disjunctive Commitments**

For the purpose of the presentation, we have made a simplification that we now correct. From the description above, it's clear that an action a can introduce commitments  $\langle a, p_i, B_i \rangle$  for more than one effect  $p_i$  of a. This will be the case when the preconditions of the actions in the relaxed plan involve more than one effect of a. The heuristic h(G|s,C) and the notions above are all correct provided that this situation doesn't arise. On the other hand, when it does, the above definitions interpret multiple commitments  $\langle a, p_i, B_i \rangle$  in C for a common action a conjunctively, as if each such commitment must be respected. This, however, is too restrictive. If a adds two relevant effects  $p_1$  and  $p_2$ , this rules out the possibility that a is the causal support of  $p_1$  but not of  $p_2$ .

<sup>&</sup>lt;sup>3</sup>Indeed, a probe may in principle reach the goal with a nonempty set of commitments.

This happens for example when a block A must be placed on top of block C, given that A is on B, and B on C. In such a case, the action pickup(A, B) is done in order to get the precondition clear(B) of pickup(B, C), but not for getting the precondition hold(A) of stack(A, C). Thus, in PROBE, multiple commitments  $\langle a, p_i, B_i \rangle$  for the same action a in C are treated not conjunctively, but disjunctively. Namely, it's assumed that every action in a probe is made with some purpose encoded by a commitment, but not with all purposes that are possible. This means three things. First, an action a in a node  $n = \langle s, C \rangle$  will be taken to violate a *disjunctive* commitment  $\langle b, p_i, B_i \rangle$ ,  $i = 1, \ldots, n_b$ , when these are all the commitments involving the action b in C, and a violates each one of them; i.e. it deletes each  $p_i$  without adding any fluent in  $B_i$ , for  $i = 1, ..., n_b$ . Second, the offsets  $\delta(a, s, C)$ for the heuristic h(G|s,C) must be defined as:

$$\delta(a, s, C) \stackrel{\text{def}}{=} \left\{ \begin{array}{l} 0 \quad \text{if } a \text{ violates no } disjunctive \text{ commitment in } C \\ \max_b \max_{i=1, n_b} \min_{q \in B_i} h(q|s, C) \end{array} \right. \text{ otherwise}$$

where  $\langle b, p_i, B_i \rangle$ ,  $i=1,\ldots,n_b,\ n_b \geq 1$ , constitute the disjunctive commitments violated by action a. Finally, the commitments C' in the node  $n'=\langle s',C'\rangle$  that follow the action a in node  $n=\langle s,C\rangle$  are formed from C by removing the disjunctive commitments consumed by a (the set of commitments  $\langle b, p_i, B_i \rangle$  with a common action b such that a adds a fluent in some  $B_i$ ), by adding the disjunctive commitments made by a (as already defined), and last, by updating the rest of the disjunctive commitments in C. A disjunctive commitment  $\langle b, p_i, B_i \rangle$  in C,  $i=1,\ldots,n_b$ , is updated by removing from C the individual commitments  $\langle b, p_i, B_i \rangle$  violated by a. Notice that at least one such commitment must remain in C if a is a helpful action according to the heuristic h(G|s,C).

#### **Landmark Graph**

The overall picture for landmarks and their ordering is not too different from LAMA except that we don't deal with disjunctive landmarks, nor with a landmark heuristic. One minor difference is that we define and compute landmarks using a formulation that is a slight variation of the set-additive heuristic (Keyder and Geffner 2008; Keyder, Richter, and Helmert 2010). The other is that we infer extra orderings among the top goals that resemble the *reasonable orderings* in (Koehler and Hoffmann 2000).

The landmarks are computed as a preprocessing step from the equations below, where L(p) and L(a) stand for the landmarks needed in order to achieve p or apply a from the given initial state s, and O(p) stands for the actions that add p:

$$L(p) = \begin{cases} \{p\} & \text{if } p \in s \\ \cap_{a \in O(p)} L(a) & \text{otherwise} \end{cases}$$
 (5)

where

$$L(a) = \bigcup_{q \in Pre(a)} L(q)$$
.

Provided that all labels L(p), except for  $p \in s$ , are initialized to  $L(p) = \bot$  ('undefined'), and that no 'undefined' label is propagated, the computation converges to labels L(p) that are sound and complete relative to the delete-relaxation.

The landmarks of the problem are then those in  $L(G_d)$ , where  $G_d$  is the dummy goal. These landmarks are ordered by means of a directed acyclic graph such that an edge  $p \to q$  means that p is a landmark for q, i.e.  $p \in L(q)$ , without being a landmark for another  $r, r \in L(q)$ .

Greedy necessary orderings (Hoffmann, Porteous, and Sebastia 2004) can also be inferred from these labels: an edge  $p \to_{gn} q$  denoting that p is greedy necessary for q (i.e. that p must be true right before q), is added if  $p \in L(q)$ , and all the first achievers of q have p in their preconditions. The first achievers of q are those actions a for which  $q \in add(a)$  and  $q \notin L(a)$ .

The landmarks graph is extended by adding extra edges between top goals in G, taking advantage that they must all be true at the same time. For all pairs  $p,q\in G$ , an edge  $p\to q$  is added when all the actions adding p e-delete  $q^4$ . This is simply because one can show then that the last action in a plan that achieves p and q jointly, must be the action that adds q.

The *set of achieved landmarks* contains initially the landmarks that are true in the initial state. Then, a landmark is added to the set when an action adds it, and is deleted from the set when an action deletes it and it is a greedy necessary landmark for an unachieved landmark.

The unachieved landmarks in a state s are the landmarks in  $L(G_d)$  for the dummy goal  $G_d$  that are not in the set of achieved landmarks.

The *first unachieved landmarks* are the unachieved landmarks that are not strictly preceded by any other unachieved landmark, i.e the roots of the unachieved landmark graph.

#### Consistency

When a subgoal must be selected in a node n, it is chosen as the nearest first unachieved landmark that is *consistent* relative to n. The notion of consistency is adapted from the planner C3 (Lipovetzky and Geffner 2009).

A first unachieved landmark g is consistent in  $n=\langle s,C\rangle$  if it heads a consistent greedy chain of unachieved landmarks. A greedy chain is a sequence of unachieved landmarks  $p_1,p_2,...,p_n,\ i\geq 1$ , where  $p_1$  is a first unachieved landmark,  $p_i$  is greedy necessary for  $p_{i+1}$ , and  $p_n$  does not precede an unachieved landmark, or precedes an unachieved landmark r, i.e.,  $p\to r$ , but  $p_n$  is not greedy necessary for it  $p_n$ 

Intuitively, a greedy chain  $p_1, \ldots, p_n$  is *consistent* when it doesn't need to be broken; i.e, when the landmark  $p_{i+1}$  can be achieved from the state  $s_i$  that results from achieving the precedent landmark  $p_i$ , while keeping  $p_i$  true until  $p_{i+1}$  is true  $i=1,\ldots,n-1$ . Indeed, it does not make sense to choose  $p_1$  as the next subgoal, in order to achieve then  $p_2,\ldots,p_n$ , if this chain of causal commitments cannot be sustained.

<sup>&</sup>lt;sup>4</sup>An action e-deletes a fluent when the fluent must be false after the action, or more precisely, when the action either deletes the fluent, has a precondition that is mutex, or adds a mutex fluent.

<sup>&</sup>lt;sup>5</sup>A greedy chain can contain a single atom  $p_1$  if  $p_1$  complies with the conditions on  $p_n$ .

For example, in Blocks, when on(1,2) and on(2,3) must be achieved starting with both blocks on the table, it doesn't make sense to adopt the 'first unachieved landmark' hold(1) as a subgoal in order to achieve on(1,2), and then the dummy goal  $G_d$ , as indeed, after achieving hold(1), either hold(1) or on(1,2) will have to be undone in order to achieve  $G_d$ . Thus, while a greedy chain headed by a landmark  $p_1$  provides a potential reason for selecting  $p_1$  as the next subgoal, the notion of consistency is aimed at detecting that some of these reasons are spurious.

The conditions under which a greedy chain is consistent borrows a number of ideas from (Lipovetzky and Geffner 2009), in particular, the notion of *projected states* that provide a fast approximation of the state that results from the achievement of a given goal.

Given a chain  $p_1,\ldots,p_n,\,n\geq 1$  relative to a node  $n=\langle s,C\rangle$ , the projected node  $n_1=\langle s_1,C_1\rangle$  is obtained from the relaxed plan  $\pi$  for the goal  $G_1=\{p_1\}$  from n. The state  $s_1$  is defined as s extended with the atoms p added by the actions in  $\pi$ . Yet since some of these atoms are mutex with  $p_1$ , the process is iterated by extending the goal  $G_1$  and the relaxed plan  $\pi$ , until  $\pi$  includes actions that delete the atoms in  $s_1$  that are mutex with  $p_1$ ; a process that can potentially add new atoms into  $s_1$ . Likewise, the set of commitments  $C_1$  true in the projected node  $n_1$  are those in C, but with the commitments consumed by actions in  $\pi$  removed.

The projected node  $n_{i+1} = \langle s_{i+1}, C_{i+1} \rangle$  for the greedy chain  $p_1, \ldots, p_n$  is defined in a slightly different way for i > 1, as while the choice of the chain makes  $p_1$  the first unachieved subgoal, it does *not* necessarily make  $p_2$  the second. Instead, after achieving  $p_1$ , the probe may select to achieve other landmarks and only then come back to  $p_2$ . For this reason,  $s_{i+1}$  is defined as the set of atoms reachable from  $s_i$  that are not mutex with  $p_{i+1}$ . Three type of actions a must be excluded in this reachability analysis: those with infinite offsets  $\delta(a, s_i, C_i)$ , those that make  $p_i$  false without making  $p_{i+1}$  true, and those with  $p_{i+1}$  in the precondition. Similarly,  $C_{i+1}$  is obtained from  $C_i$  by removing the commitments consumed by the remaining reachable actions.

Given the projected nodes  $n_i = \langle s_i, C_i \rangle$  along a greedy chain  $p_1, \ldots, p_n, i = 1, \ldots, n$ , with  $n_0 = \langle s, C \rangle$ , the chain is *consistent* if neither  $h(G_d|s_n, C_n)$  nor  $h(p_i|s_{i-1}, C_{i-1})$  is infinite, for  $i = 1, \ldots, n$ .

#### **Summary**

Wrapping up, PROBE is a greedy best-first planner that throws a probe from the node  $n=\langle s,C_0\rangle$ , where  $C_0$  is the empty set of commitments, each time that a state s is expanded. The best-first search makes the planning algorithm complete, while the probes provide a very fast and focused lookahead device. A probe is a sequence of actions that is extended greedily at each node  $n=\langle s,C\rangle$  by selecting the action that is helpful to the subgoal g associated with n or the commitments C in n. A node  $n=\langle s,C\rangle$  inherits the subgoal g from its parent node in the probe, except when s achieves g or g is the first node of the probe. In these two cases, the subgoal g is selected as the nearest first unachieved landmark that heads a consistent greedy chain. Probes terminate in the goal or in failure, and they are not

allowed to visit states in memory (open or closed). All the states expanded by failed probes are added nonetheless to the open list of the best-first search algorithm. As we will see in the next section, most IPC domains are solved with a single probe, and only two domains, require thousands of expansions and probes.

# **Experimental Results**

We compare PROBE with FF and LAMA over a broad range of IPC domains. PROBE is written in C++ and uses Metric-FF as an *ADL* to *Propositional STRIPS* compiler (Hoffmann 2003). LAMA is executed without the plan improvement option, reporting the first plan that it finds. All experiments were conducted on a dual-processor Xeon 'Woodcrest' running at 2.33 GHz and 8 Gb of RAM. Processes time or memory out after 30 minutes or 2 Gb. All action costs are assumed to be 1 so that plan cost is plan length.

Table 1 compares PROBE with FF and LAMA over 980 instances from previous IPCs. In terms of coverage, PROBE solves 56 more problems than LAMA and 73 more than FF. More remarkably, 70% of them are solved with just *one probe* (56 problems more than FF in EHC). There are several domains where PROBE solves more problems than LAMA and FF, the largest difference being in *Pipesworld tankage*, where it solves 13 instances more than LAMA and 19 more than FF. On the other hand, the largest gain of LAMA and FF over PROBE is in Sokoban, where LAMA and FF solve 12 and 13 more instances respectively.

Column #P shows the average number of probes required in each domain, which corresponds to the number of nodes expanded in the greedy best first search (not the number of total expanded nodes that is shown). Interestingly, this number is one in most domains, and large in three domains only, Sokoban, Trucks, and Pegsol, where probes do not pay off.

A measure of the search effort is given by the number of nodes that each planner expands over the instances solved by all three planners. LAMA expands around 7 times more nodes than PROBE and FF 36 times more. In some domains this difference is even larger. In Depots, for example, LAMA (FF) solves less instances than PROBE, but it expands 414 (663) times more nodes. This, however, does not mean that PROBE is faster. One reason is the use of deferred evaluation by LAMA, which leads to faster node expansions and fewer heuristic evaluations. Another one is the overhead in PROBE. Interestingly, FF is fastest in 18 out of the 30 domains, while LAMA and Probe are each fastest in 6

The average plan length of the instances solved by the three planners is 61 for PROBE, 56 for LAMA and 54 for FF. PROBE is worst in quality in *Sokoban* and *Gripper*, while best in *Depots* and *Blocks*.

# **Examples**

PROBE is a 'fine-grained' planner that can solve many problems without search, and thus it is illustrative to see its behavior over concrete instances.

		FF							LAMA					PROBE						
Domain	I	S	EHC	EX	T	(	Q	S	EX	T	(	Q	S	1P	EX	#P	T	Q		
Blocks World	50	42	42	9,193	0.22	39	(9)	50	1,077	0.69	86	(1)	50	50	40	1.0	0.21	40	(2)	
Cyber	30	4	4	228	0.74	30	(0)	25	73	48.48	30	(0)	24	13	30	111.5	1.46	30	(0)	
Depots	22	22	19	71,649	38.28	47	(0)	20	44,738	46.58	52	(1)	22	14	108	11.8	3.01	42	(6)	
Driver	20	16	6	11,476	11.73	34	(2)	20	2,445	1.32	37	(4)	20	15	54	2.1	0.99	50	(1)	
Elevator	30	30	30	1,429	1.34	86	(4)	30	666	3.28	88	(0)	30	25	114	1.2	30.81	110	(0)	
Ferry	50	50	50	50	0.00	28	(0)	50	108	0.18	29	(0)	50	50	29	1.0	0.02	29	(1)	
Freecell	20	20	14	1,506	2.81	55	(7)	20	2,071	19.78	64	(0)	18	7	261	35.1	45.45	67	(1)	
Grid	5	5	5	301	0.30	61	(1)	5	174	6.43	56	(1)	5	5	59	1.0	7.74	59	(1)	
Gripper	50	50	50	102	0.00	76	(0)	50	79	0.26	76	(0)	50	50	101	1.0	0.06	101	(0)	
Logistics	28	28	28	94	0.00	41	(1)	28	97	0.25	42	(0)	28	28	55	1.0	0.13	55	(0)	
Miconic	50	50	50	52	0.00	30	(0)	50	37	0.15	30	(0)	50	50	45	1.0	0.02	45	(0)	
Mprime	35	34	34	23	0.03	6	(1)	4	12	3.72	6	(0)	34	33	7	1.0	2.62	7	(0)	
Mystery	30	18	15	258	0.08	7	(0)	22	238	2.36	6	(4)	25	23	8	1.1	1.21	8	(0)	
Openstacks	30	30	30	504	0.46	136	(0)	30	124	3.03	145	(0)	30	30	121	1.0	20.22	139	(0)	
Openstacks-IPC6	30	30	30	968	0.59	156	(0)	30	146	3.68	159	(0)	30	30	139	1.0	54.76	158	(0)	
Parc-Printer	30	30	21	173	0.03	32	(0)	24	409	0.41	34	(0)	27	21	49	9.7	0.26	31	(0)	
Pegsol	30	30	0	15,287	1.35	34	(0)	30	5,174	1.34	35	(0)	29	1	1,681	864.7	2.10	34	(0)	
Pipesworld-No-Tan	50	35	17	3,540	0.45	28	(5)	20	1,363	1.04	37	(1)	45	19	65	6.4	0.35	33	(5)	
Pipesworld-Tan	50	22	4	46,189	62.23	30	(8)	28	40,015	32.41	31	(2)	41	16	1,055	108.7	59.14	55	(5)	
PSR-Small	50	41	0	39,533	60.96	17	(1)	50	276	0.89	17	(0)	50	0	70	30.8	0.07	20	(0)	
Rovers	40	40	40	10,341	26.97	100	(4)	40	1,750	13.44	106	(1)	40	38	114	1.1	28.16	113	(0)	
Satellite	20	20	20	389	0.10	38	(0)	20	412	0.90	39	(1)	20	20	41	1.0	0.86	41	(0)	
Scanalyzer	30	30	22	1,905	1.89	24	(1)	28	257	8.52	24	(2)	28	26	39	2.8	6.15	24	(4)	
Sokoban	30	27	0	19,355	0.82	141	(2)	26	16,066	3.52	138	(4)	14	0	12,027	11,120.6	96.71	160	(0)	
Storage	30	18	3	261,299	49.90	16	(0)	18	3,645	1.62	20	(0)	21	15	15	2.5	0.08	15	(6)	
TPP	30	28	28	28,388	42.41	122	(0)	30	1,340	6.91	104	(8)	30	30	119	1.0	20.88	119	(0)	
Transport	30	29	29	45,593	133.52	28	(1)	30	4,964	41.23	27	(0)	30	24	157	1.2	42.27	26	(4)	
Trucks	30	11	6	135,863	5.66	23	(0)	16	169	0.61	24	(0)	9	0	2,762	2,818.4	20.55	26	(0)	
Woods	30	17	12	1,329	0.26	117	(1)	30	7,040	5.84	100	(15)	30	30	31	1.0	5.45	154	(0)	
Zeno Travel	20	20	18	148	0.13	31	(13)	20	482	3.55	36	(1)	20	20	50	1.0	6.21	50	(0)	
Total	980	827	627	23,572	14.77	54		844	4,515	8.75	56		900	683	648		15.26	61		
Percentage		84%	64%					86%					92%	70%						

Table 1: PROBE vs. FF and LAMA on instances of previous IPCs: I is the number of instances, S is the number of solved instances, E is number instances solved by EHC, EX is the average number of expanded nodes, E1 is the number of instances solved with E2 or E3 is the average number of probes triggered, E3 is average time in seconds and E3 is the average plan length. E4 and E5 are reported for problems solved by all three planners. In parenthesis is the number of problems where each planner produces solutions that are at least 10% better than the other two planners

### **Blocks World**

The Sussman Anomaly starts with blocks b and a on the table, and c on top of a. It is a well-known instance of Blocks-World because it requires some form of goal interleaving: the problem has two goals, b on c and a on b, but no goal can be tackled first while leaving the other goal aside; progress towards the two subgoals needs to be interleaved, which can defeat naive serialization schemes.

The landmarks graph generated for this problem is shown in Figure 1. The top goal on(b,c) must be achieved before on(a,b). The landmarks of on(a,b) are hold(a), which is greedy necessarily ordered before on(a,b), and clear(a) which is ordered greedy necessarily before hold(a). The other top goal on(b,c) only has the landmark hold(b) ordered greedy necessarily before it.

As described above, the first probe is launched from the initial state. First, it must select a subgoal. The selection process computes the set of consistent first unachieved landmarks and chooses the one with the lowest heuristic value.

In this case, the only consistent landmark is clear(a). The other first unachieved landmark hold(b) is not consistent, as the only chain  $p_1, p_2, p_3 = hold(b), on(b, c), g$  beginning with it results in a projected state and set of commitments  $\langle s_3, C_3 \rangle$  from which the heuristic computed for the only other top goal on(a, b) is  $h(on(a, b)|s_3, C_3) = \infty$ .

Once the subgoal clear(a) is selected, the action selection process is triggered. There is one helpful action with respect to hold(a), unstack(c,a), which leaves the subgoal at distance 0. The action  $a_0 = unstack(c,a)$  adds the commitment

$$\langle a_0, clear(a), \{hold(a)\} \rangle$$

that can only be consumed by the action pickup(a) given that a is on the table. Notice that committing to mantain clear(a) until hold(a) is achieved results in all possible stack(X,a) being penalized with an offset by the heuristic.

In the resulting node, goal selection is triggered because the previous subgoal is true in the current state. Among the two first unachieved landmarks hold(a) and hold(b),

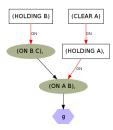


Figure 1: The landmarks graph for Sussman's anomaly. Top goals and their landmarks are shown as elliptical and rectangular nodes respectively, and the arrows represent ordering relations between them. Greedy necessary orderings marked 'GN'

only the latter is consistent. hold(a) is not consistent as  $h(on(b,c)|s_3,C_3)=\infty$ , where  $s_3,C_3$  are the state and set of commitments resulting from projecting along the only chain  $p_1,p_2,p_3=hold(a),on(a,b),g$  beginning with hold(a). Once hold(b) is selected as the new subgoal, the helpful actions with respect to hold(b) and hold(a) are computed. Notice that though hold(a) is not the current subgoal, helpful actions are computed for it as well, as it is a goal of one of the active commitments. The only action that respects the current commitments is then  $a_1=putdown(c)$ , adding the commitment

$$\langle a_1, freearm, \{hold(a), hold(b)\} \rangle$$

As the current subgoal is not yet achieved in the resulting node, goal selection is skipped and the action selection procedure computes the helpful actions with respect to hold(b) and hold(a). There are two actions: pickup(b) which leaves the subgoal at distance 0, and pickup(a) that leaves the subgoal at distance 2. Therefore,  $a_2 = pickup(b)$  is selected, consuming the last commitment and adding instead the commitment

$$\langle a_2, hold(b), \{on(b, c)\} \rangle$$

In the resulting node, goal selection is triggered again, selecting the top goal on(b,c) and discarding hold(a), because it still does not begin any consistent chain. The only helpful action for on(b,c) and hold(a) is  $a_3 = stack(b,c)$ , which consumes the last commitment, and adds the disjunctive commitment

$$\langle a_3, on(b, c), \{g\} \rangle \vee \langle a_3, freearm, \{hold(a)\} \rangle$$

The probe continues, selecting the only possible new subgoal hold(a), which is consistent because on(b,c) is already true in the current state. It then selects the helpful action  $a_4 = pickup(a)$  that consumes the two existing commitments  $(a_0, a_3)$ , and adds

$$\langle a_4, hold(a), \{on(a,b)\} \rangle$$

Finally the subgoal on(a,b) is selected, and the helpful action  $a_5 = stack(a,b)$  is applied, consuming the last commitment and adding  $\langle a_5, on(a,b), \{g\} \rangle$ . The probe then ends successfully with the selection of the End action that adds the dummy goal g.

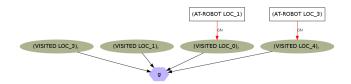


Figure 2: The landmark graph for the  $1 \times 5$  instance of the Visit-all domain. Top goals and their landmarks are shown as elliptical and rectangular nodes respectively, and the arrows represent ordering relations between them. Greedy necessary orderings marked 'GN'

#### Visit-All

The Visit-all domain consists of an agent at the middle of a square grid  $n \times n$  that must visit all the cells in the grid. This is a trivial artificial domain, yet it turns out to be hard for planners such as HSP and FF due to the presence of large plateaus in the heuristic function. In this example we consider a grid  $1 \times 5$ .

The landmarks graph generated for this problem is shown in Figure 2. The top goals can not be ordered, thus there is no precedence relation between the fluents visited(i), i=0,...,4. The single landmark for visited(0) is robot(1) and the single landmark for visited(4) is robot(3), both ordered greedy necessarily before their ancestors. Notice that visited(2) and robot(2) are already achieved initially and therefore are not considered.

The first probe is launched from the initial state in which the robot is at location 2, in the middle of the grid. All the first unachieved landmarks are consistent and at distance 1, thus robot(3) is selected randomly as a subgoal. The only helpful action  $a_0 = move(2,3)$  is applied adding the commitment

$$\langle a_0, robot(3), \{visited(4)\} \rangle \vee \langle a_0, visited(3), \{g\} \rangle$$

In the resulting node, a new subgoal is needed because robot(3) is already achieved in the current state. Among the *first unachieved landmarks*, all of them consistent, visited(4) is selected at the current state because it is at distance 1, while robot(1) and visited(1) are at distance 2. The only helpful action is  $a_1 = move(3,4)$ , which consumes the last commitment and adds

$$\langle a_1, visited(4), \{g\} \rangle$$

The probe continues, selecting visited(1) as a subgoal. Notice that it selects randomly between robot(1) and visited(1), as both of them are consistent and at distance 3. The helpful action  $a_2 = move(4,3)$  is then applied, and adds the commitment

$$\langle a_2, robot(3), \{robot(2)\} \rangle$$

As the subgoal is not yet achieved in the resulting state, the next helpful action applied is  $a_3 = move(3, 2)$ , consuming the last commitment and adding

$$\langle a_3, robot(2), \{visited(1)\} \rangle$$

Again, the subgoal is unchanged, and the selected action  $a_4 = move(2, 1)$  consumes the last commitment and adds

$$\langle a_4, visited(1), \{g\} \rangle$$

Finally, the Probe selects the last subgoal visited(0) and applies the action  $a_5 = move(1,0)$  that adds the last commitment  $\langle a_5, visited(0), \{g\} \rangle$  and the END action is applied.

#### **Conclusions**

We have formulated and tested a new dual search architecture for planning based on the notion of probes: single action sequences constructed greedily but carefully, that can quickly get deep into the state space, terminating in the goal or in failure. The probes are used as part of a greedy bestfirst search algorithm that throws a single probe from every state that is expanded. We have shown that most IPC domains are actually solved with a single probe, while in a few difficult domains such as Sokoban and Trucks, probes do not help and introduce overhead. Overall, the performance of the planner is comparable with state-of-the-art planners such as FF and LAMA, while it's coverage over the 980 planning instances considered is slightly better (92% for PROBE vs. 84% and 86% for FF and LAMA respectively).

The design of probes uses and extends a number of techniques developed in modern planners that go well beyond the use of heuristic functions to guide the search. They include helpful actions, landmarks, causal commitments, and consistency tests, that aid in the greedy selection of the subgoal to achieve next in the probe, and the actions needed to reach it. The subgoals in turn are selected among the first unachieved landmarks.

An assumption underlying the design of probes is that many domains can be solved easily once a suitable *serialization* of the landmarks is found. The empirical results appear to provide support to this assumption.<sup>6</sup>

The assumption however raises two questions that we would like to address in the future. The first is which methods are good for finding such serializations when they exist. PROBE implement one such method yet it's not necessarily the best, and moreover, probes are greedy and incomplete. The second question is what methods are good for finding and exploiting serializations in problems that have good but no perfect decompositions. The 8-puzzle is an example of this situation: one can place the tile 1 in position 1, the tile 2 in position 2, but then one needs to undo this last subgoal, in order to have tiles 2 and 3 at their target positions.

The ideas of goal serialization and problem decomposition have received a lot of attention in search and in the early days of planning (Korf 1987), and it may be worth revisiting those ideas in planning equipped with the techniques that have been developed more recently, such as those used in PROBE.

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<sup>&</sup>lt;sup>6</sup>Landmarks are also used to decompose a problem into subproblems in (Hoffmann, Porteous, and Sebastia 2004), yet the results then are not as good, among other possible reasons, because there is no explicit choice of the subgoal (landmark) to achieve next, nor criteria for discarding some of them as inconsistent.